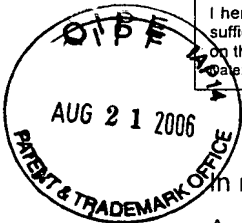


IFW



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: August 17, 2006 Name: Gustavo Siller, Jr. Signature: *Gustavo Siller Jr.*

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Noaya Hasegawa

Appln. No.: 10/617,010

July 10, 2003

For: SPIN-VALVE THIN-FILM
ELEMENT AND METHOD FOR
MANUFACTURING THE SAME

Attorney Docket No: 9281-4594

Examiner: Anthony D. Tugbang

Art Unit: 3729

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate), Supplemental Information Disclosure Statement, PTO-1449, and cited references B2-B6.
- ☒ Return Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

August 17, 2006
Date

Gustavo Siller Jr.
Gustavo Siller, Jr. (Reg. No. 32,305)
Customer No. 00757

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

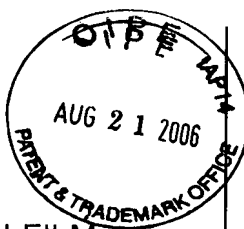
In re Appln. of: Naoya Hasegawa

Appln. No.: 10/617,010

Filed: July 10, 2003

For: SPIN-VALVE THIN-FILM
ELEMENT AND METHOD FOR
MANUFACTURING THE SAME

Attorney Docket No: 9281-4594



Examiner: Anthony D.
Tugbang

Art Unit: 3729

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(i)**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(i), Applicant hereby cites the following reference(s):

No.	Date of Publication
10-91921	04-10-1998
8-55312	02-27-1996
6-223336	08-12-1994
10-105928	04-24-1998
5,408,377	04-18-1995

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Also enclosed is a copy of Notification of Reasons for Refusal dated March 27, 2001, for corresponding Japanese Patent Application No. 11-019119. Applicant respectfully requests that the citation(s) be placed into the file wrapper of the application.

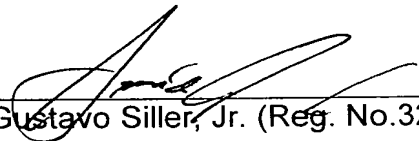
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

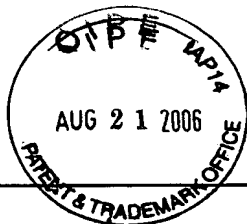
Respectfully submitted,

August 17 2006

Date



Gustavo Siller, Jr. (Reg. No.32,305)



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/617,010	CASE NO. 9281-4594
	FILING DATE July 10, 2003	GROUP ART UNIT 3729
	APPLICANT(S): Naoya Hasegawa	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	5,408,377	04-18-1995	Bruce A. Gurney et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	B2	10-91921	04-10-1998	Japan		X	
	B3	8-55312	02-27-1996	Japan		X	
	B4	6-223336	08-12-1994	Japan		X	
	B5	10-105928	04-24-1998	Japan		X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	B6	Copy of Notification of Reasons for Refusal dated March 27, 2001 for corresponding Japanese Patent Application No. 11-019119.
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.